

NL - 37 : STATEMENT OF DOWN GRADED INVESTMENTS - 2Name of the Insurer : **UNITED INDIA INSURANCE COMPANY LIMITED**Registration Number : **545**Statement as on : **30-Jun-2016****STATEMENT OF DOWN GRADED INVESTMENT**Periodicity of Submission - **Quarterly**

Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
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A. During the Quarter¹

1	9.05% Corporation Bank (Perp)	EUPD	1,000.00	11-Aug-09	CRISIL	AAA	AA	01-Apr-16	Approved
2	9.25% OBC 2021	OLDB	500.00	21-Jul-06	RICKWOR	AAA	AA-	11-Apr-16	Unapproved
3	8.75% OBC 2024	OLDB	2,005.23	11-Feb-09	RICKWOR	AAA	AA-	11-Apr-16	Unapproved
4	9.20% OBC 2024	OLDB	1,010.06	27-Oct-14	RICKWOR	AA+	AA-	11-Apr-16	Unapproved
5	9.10% OBC (Perp)	OBPT	1,000.00	17-Dec-09	RICKWOR	AA	AA-	11-Apr-16	Unapproved
6	9.40% OBC (Perp)	OBPT	500.00	17-Dec-06	RICKWOR	AA	AA-	11-Apr-16	Unapproved
7	8.23% PNB Housing Fin 2019	HTDN	4,500.00	09-Oct-15	ICRA	AAA	AA+	01-Apr-16	Approved

B. As on date²

1	8.90% Bank of Baroda (Perp)	EUPD	498.80	30-Jan-09	CARE	AAA	AA+	25-Mar-16	Approved
2	9.15% Bank of Baroda (Perp)	EUPD	1,001.74	01-Apr-13	CARE	AAA	AA+	25-Mar-16	Approved
3	9.00% Bank of India (Perp)	EUPD	2,994.00	29-Oct-07	ICRA	AAA	AA	06-Nov-15	Approved
4	10.40% Bank of India (Perp)	EUPD	2,506.28	13-Aug-07	ICRA	AAA	AA	06-Nov-15	Approved
5	10.55% Bank of India (Perp)	EUPD	1,680.21	07-Jan-10	ICRA	AAA	AA	06-Nov-15	Approved
6	8.57% Central Bank 2025	OLDB	974.14	11-Jun-10	ICRA	AA	AA-	22-Feb-16	Unapproved
7	9.05% Corporation Bank (Perp)	EUPD	1,000.00	11-Aug-09	CRISIL	AAA	AA	31-Mar-16	Approved
8	11.25% Deccan Chronicle 2012	OLDB	2,994.46	12-Jul-11	CARE	P1+	D	02-Jul-12	Unapproved
9	10.10% First Blue Home Fin 2016	HTDA	500.00	01-Nov-10	ICRA	AA+	AA	30-Jun-11	Approved
10	12.00% First Leasing (I) Ltd 2017	OLDB	1,500.00	31-May-12	CARE	AA	C	10-Jan-14	Unapproved
11	9.50% Gammon India 2020	IODS	1,980.00	18-Jun-10	CARE	AA	D	06-Oct-14	Unapproved
12	11.40% Lakshmi Vilas Bank 2018	OLDB	2,000.00	01-Jan-12	RICKWOR	A-	BBB+	02-Sep-15	Unapproved
13	9.75% JSL Ltd 2022	OLDB	897.00	31-Mar-10	CARE	B	D	26-Apr-12	Unapproved
14	9.55% Hindalco Industry 2022	OLDB	15,006.03	27-Apr-12	CRISIL	AA+	AA-	31-Jul-15	Unapproved
15	9.60% Hindalco Industry 2022	OLDB	1,953.40	02-Aug-13	CRISIL	AA+	AA-	31-Jul-15	Unapproved
16	2.00% Indian Hotel 2017	ECOS	1,497.47	25-Apr-12	ICRA	AA+	AA	14-Sep-12	Approved
17	9.90% Indian Hotels 2017	ECOS	2,500.00	27-Feb-12	ICRA	AA+	AA	14-Sep-12	Approved
19	11.75% Magma Fin Corp 2017	OLDB	1,500.00	05-Dec-11	CARE	AA	AA-	01-Aug-14	Unapproved
20	11.50% Magma Fin Corp 2018	OLDB	1,498.50	06-Sep-12	CARE	AA	AA-	01-Aug-14	Unapproved
21	9.25% OBC 2016	ECOS	500.00	05-Oct-06	ICRA	AAA	AA	25-Mar-14	Approved
22	8.75% OBC 2024	ECOS	2,005.23	11-Feb-09	ICRA	AAA	AA+	25-Mar-14	Approved
23	9.20% OBC 2024	OLDB	1,010.06	27-Oct-14	RICKWOR	AA+	AA-	11-Apr-16	Unapproved
24	9.10% OBC (Perp)	OBPT	1,000.00	17-Dec-09	RICKWOR	AA	AA-	11-Apr-16	Unapproved
25	9.40% OBC (Perp)	OBPT	500.00	17-Dec-06	RICKWOR	AA	AA-	11-Apr-16	Unapproved
26	8.23% PNB Housing Fin 2019	HTDN	4,500.00	09-Oct-15	ICRA	AAA	AA+	01-Apr-16	Approved
27	9.45% Punjab National Bank 2023	ECOS	993.50	29-May-08	CARE	AAA	AA+	25-Mar-16	Approved
28	11.15% Reliance Infra 2016	IODS	2,500.00	30-Mar-12	CRISIL	AA	A	20-Mar-15	Unapproved
29	11.15% Reliance Infra 2018	IODS	2,500.00	18-Apr-12	CRISIL	AA	A	20-Mar-15	Unapproved
30	10.00% Reliance Infra 2020	IODS	2,003.69	06-Aug-13	CRISIL	AA+	A	20-Mar-15	Unapproved
6	10.25% Reliance Infra 2024	IODS	5,000.00	21-Jan-14	CRISIL	AA	A	20-Mar-15	Unapproved
32	8.50% SAIL 2019	EPBT	1,500.00	23-Dec-09	CARE	AAA	AA+	16-Mar-16	Approved
33	8.60% SAIL 2019	EPBT	2,000.00	24-Nov-09	CARE	AAA	AA+	16-Mar-16	Approved

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Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
34	8.65% SAIL 2019	EPBT	1,500.00	28-Jan-10	CARE	AAA	AA+	16-Mar-16	Approved
35	8.72% SAIL 2020	EPBT	3,972.45	07-May-10	CARE	AAA	AA+	16-Mar-16	Approved
36	8.75% SAIL 2020	EPBT	2,000.00	29-Apr-10	CARE	AAA	AA+	16-Mar-16	Approved
37	10.75% Simplex infra 2022	IODS	1,500.00	06-Dec-12	CARE	AA	A	01-Oct-15	Unapproved
38	11.00% Simplex Infra 2022	IODS	1,000.00	29-Jun-12	CARE	AA	A	01-Oct-15	Unapproved
39	11.75% Srei Infra Fin Ltd 2016	IODS	1,500.00	09-Sep-11	CARE	AA	AA-	03-Dec-12	Unapproved
40	11.30% Srei Infra Fin Ltd 2017	IODS	2,500.00	19-Jul-12	CARE	AA	AA-	03-Dec-12	Unapproved
41	11.75% Srei Infra Fin Ltd 2017	IODS	1,500.00	12-Jan-12	CARE	AA	AA-	03-Dec-12	Unapproved
42	11.85% Srei Infra Fin Ltd 2017	IODS	2,000.00	30-Nov-11	CARE	AA	AA-	03-Dec-12	Unapproved
43	9.17% Sterlite Industries 2023	OLDB	1,000.00	05-Jul-13	CRISIL	AA+	AA-	27-Jan-16	Unapproved
44	9.35% Syndicate Bank 2021	ECOS	500.00	29-Aug-06	CRISIL	AA+	AA	10-Mar-16	Approved
45	9.15% Tata Power 2021	IODS	497.04	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
46	9.15% Tata Power 2021	IODS	976.20	23-Jul-10	CRISIL	AA+	AA-	10-Jan-14	Unapproved
47	9.15% Tata Power 2022	IODS	496.90	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
48	9.15% Tata Power 2023	IODS	496.77	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
49	9.15% Tata Power 2024	IODS	495.85	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
50	9.15% Tata Power 2025	IODS	992.43	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
51	10.75% Tata Power 2072	IODS	5,000.00	21-Aug-12	CRISIL	AA	AA-	10-Jan-14	Unapproved
52	11.40% Tata Power (Perp)	IODS	2,500.00	02-Jun-11	CRISIL	AA	AA-	10-Jan-14	Unapproved
53	9.85% Tata Communications 2019	ICTD	1,009.70	10-Aug-09	CARE	AAA	AA+	30-Nov-10	Approved
54	8.64% TNEB 2020	SGOA	1,000.00	11-May-10	CRISIL	A+	A	04-Jan-15	Guranteed

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 11.08.2016

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General Manager

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04